

LICENSING, REGULATION AND ALCOHOL STRATEGY

Application for a Sports Bookmaker's Licence by a Corporation

Racing and Betting Act

Notes to Application

1. This application must be lodged with your Territory Business Centre.
2. Additional information may be required to enable the determination of this application.
3. If the space provided is insufficient, please present relevant information on a separate sheet.
4. The following are documents that must be lodged with the application:

a) the prescribed annual licence fee*	<input type="checkbox"/> Yes
b) 2 passport sized photographs of company nominee	<input type="checkbox"/> Yes
c) fingerprint check for each director, partner, trustee, executive officer or secretary	<input type="checkbox"/> Yes
d) bank and trading statements for one week of each of the past 3 months	<input type="checkbox"/> Yes
e) certificate of incorporation of the company	<input type="checkbox"/> Yes
f) copies of memorandum and articles of association	<input type="checkbox"/> Yes
g) copies of certificates of registration under the <i>Business Names Act</i> for any business name used or proposed to be used by the company	<input type="checkbox"/> Yes
h) financial accounts of the company for the past two years (audited if available)	<input type="checkbox"/> Yes
i) a recent Credit reference report	<input type="checkbox"/> Yes

LICENSING, REGULATION AND ALCOHOL STRATEGY

Darwin

Level 1, Enterprise House
28-30 Knuckey Street, Darwin
GPO Box 1154, Darwin, NT 0801
Ph: 08 8999 1800
Fax: 08 8999 1888

Katherine

18 Katherine Terrace, Katherine
GPO Box 2138, Katherine NT 0850
Ph: 08 8972 8906
Fax: 08 8972 8910

Alice Springs

1st Floor Belvedere Hse
Cnr Parsons & Bath Sts
GPO Box 8470, Alice Springs NT 0871
Ph: 08 8951 5195
Fax: 08 8951 8591

Details of financial status of company

Bankers:	
Accounts:	
Auditors:	

Are there any factors not disclosed in the financial accounts, which may affect the financial position of the company or the Commission's decision to grant a Licence? If yes, provide details:	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Names of company officers*

Directors:	
Company Secretary	
Principal Executive Officer	
Senior Management Staff	
Company Nominee who is responsible for conduct of the sports bookmaking business:	

*For each director, the company secretary and company nominee stated above, provide the following details (attach separate sheet for each)

- a) full name
- b) previous names and alias
- c) date of birth
- d) place of birth
- e) current residential address
- f) office held
- g) offices held in other companies (if any)
- h) details of criminal convictions (if any)
- i) details of criminal investigations or
- j) prosecutions pending (if any)
- k) details of any bankruptcy assignment or arrangement with creditors (whether current or not)
- l) details of any disqualification to act as a company director or officer

Full name, address and percentage of stock held for each shareholder controlling five (5) percent or more of the company's stock. If insufficient space, attach separate sheet.

Full name:	
Address:	
Percentage of stock:	
Full name:	
Address:	
Percentage of stock:	
Full name:	
Address:	
Percentage of stock:	
Where such a shareholder is a company;	
Registered company name(s) and trading name(s)	
Full names and address of the principals of the company	
Registered address	
Percentage of stock held – state number and class of shareholder	

Is the company a public company?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If yes, state whether listed on a stock exchange	<input type="checkbox"/> Yes	<input type="checkbox"/> No

For each company which is a subsidiary of, or controlled by your company:
(If insufficient space, attach separate sheet)

Registered company name(s) and trading name(s):	
Purpose of company:	
Paid up capital of the company	
Registered company name(s) and trading name(s):	
Purpose of company:	
Paid up capital of the company	
Registered company name(s) and trading name(s):	
Purpose of company:	
Paid up capital of the company	

Are you or any person named in or involved with this application indebted to any other person, including bookmakers for bets made by or with you? If yes, provide details:	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Have you or any person named in or involved with this application been refused a bookmakers licence/permit anywhere? If yes, provide details:	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Have you or any person named in or involved with this application ever been disqualified, suspended, warned off, fined or listed as a defaulter by any racing club or authority? If yes, provide details:	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Are there any court proceedings (including winding up proceedings) pending or proposed and are there any unsatisfied judgements against the company? If yes, provide details:	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Where do you propose to operate as a sports bookmaker?

Sports Bookmaker’s Key Employees

The names and addresses of all key employees employed by the company are:

Name	Address

Pursuant to Section 97 of the *Act*, I seek approval for the following key employees to become agents to act on my behalf in my absence.

Name	Contact Phone

Name	After hours contact	Business contact

Declaration

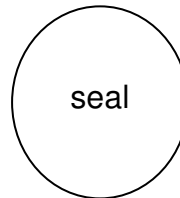
I declare that I am not financially or in any other way interested in any illegal betting whatsoever, and I undertake that I will not engage in any such business while I am registered by the Northern Territory Racing Commission. I also declare that the particulars supplied by me in this application are true and correct.

Signed at... ..this... .. day of... ..20... ..,
pursuant to a resolution passed on the day of... ..20... ..,
of the Board of Directors ofauthorising
the undersigned to make this application for and on behalf of the Company.

Signature of Company Nominee

Date

The common seal of



.....

was hereunto affixed this

..... day of... ..20... ..

in the presence of

.....
(Director)

.....
(Secretary)

Notice In Accordance with the Information Act (Information Privacy Principle 1)

Licensing, Regulation and Alcohol Strategy (LRAS – a division of NT Department of Justice) is seeking information from you for the purposes of your application.

Information Privacy Principle 1 (IPP 1) requires that a public sector organisation must not collect personal information unless the information is necessary for one or more of its functions or activities. If personal information about an individual is collected from the individual, the organisation must take reasonable steps to ensure that the individual is aware of certain matters. For the purposes of IPP 1, the following advice is provided.

- a) You are able to access your personal information that you have provided by making a written request to the Director of Licensing.
- b) The information is required pursuant to the *Racing and Betting Act*. The *Act* requires that certain matters must be considered when deciding whether or not to approve an application.
- c) The information will be kept confidential except as follows:
 - i. Information may be sought from Police, government agencies, interstate licensing authorities, or referees or other persons nominated by you. Information may be released to those sources to the extent necessary to verify information about you and your application.
 - ii. If a hearing is involved, information will be released to the parties, Commission or the Court, as required by the *Act*.
 - iii. Registers of licences and permits will be maintained and may be made available to the public on request.
- d) You do not have to provide information if you do not wish to do so. However, an application may not be approved if there is insufficient information to properly determine the matter in accordance with the *Act*.

Website: www.nt.gov.au/justice/licenreg

**Please refer to the relevant schedule of fees.*

TERRITORY BUSINESS CENTRES

TOLL FREE LINE: 1800 193 111 (Australia Wide)

Darwin	Katherine	Tennant Creek	Alice Springs	Postal Address
Development Hse 76 The Esplanade Darwin NT 0800 Phone: (08) 8982 1700	1 Randazzo Bldg 18 Katherine Tce Katherine NT 0850 Phone: (08) 8972 8906	Shop 2, Barkly Hse Cnr Paterson & Davidson Sts Tennant Creek NT 0860 Phone: (08) 8962 4411	Peter Sitzler Bldg 67 Nth Stuart Hwy Alice Springs NT 0870 Phone: (08) 8951 8524	GPO Box 9800 Darwin NT 0801 territory.businesscentre@nt.gov.au

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